



Recent Regulatory Changes Affecting Hong Kong Investors

Programme Code: S110711

This seminar will give a brief introduction to the Hong Kong Investment Funds Association and discuss recent regulatory changes introduced by the Securities and Futures Commission (the “SFC”) that have implications for the fund management industry, distributors and investors and explain how investors protection will be enhanced.

On 25th September, 2009, the SFC issued a consultation paper on proposals for enhancements to the regulatory regime governing the sale of retail investment products in Hong Kong. After a 3-month consultation, the SFC published the “Consultation Conclusions on Proposals to Enhance Protection for the Investing Public” in May 2010. The conclusion affects the fund management industry, distributors and of course, investors, materially in different aspects.

Date	Monday, 11 July 2011
Time	6:30 p.m. – 8:00 p.m.
Venue	Hong Kong Institute of CPAs Training Centre, 27/F., Wu Chung House, 213 Queen’s Road East, Wanchai, Hong Kong.
Format	Seminar
Language	English
Fee	HK\$190 for HKICPA member or student; and IA/ HKIAAT’s member or student HK\$180 for online enrolment HK\$330 for non-member
Objectives	Provide the attendees with some updates related to the latest regulatory changes on investment funds so that they can have an understanding of the implications both from a practitioner’s perspective and a fund investor’s perspective.
Speakers	Sam Yu , Vice Chairman of the Hong Kong Investment Funds Association Regulatory Subcommittee Sally Wong , Chief Executive Officer of the Hong Kong Investment Funds Association
Participants	All parties who wish to learn more about the latest regulatory changes on investment funds
Competency	General Business and Professional Knowledge
Rating	Foundation Level (Please refer to the Institute's online CPD Learning Resource Centre)
CPD hours	1.5

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